Financial Services and Insurance
金融服務和保險

Bridging Course for the Enhanced Competency Framework on Anti-Money Laundering and Counter-Financing of Terrorism Professional Level
Programme Code: FINA9321

Application Code: 1790-SC490A

2975 5767 | ecfaml@hkuspace.hku.hk

The programme aims to serve as a bridging course for relevant banking practitioners who would be exempted from the ECF on AML/CFT of the HKMA with their professional qualifications at relevant professional bodies.

Learners would be equipped with the analytical skills in Hong Kong regulatory framework, application of the risk-based approach, AML/CFT risks in specialist areas and financial inclusion in the banking context.

R Applicants shall hold the Certified Anti-Money Laundering Specialist certification or the International Diploma in AML awarded by the Association of Certified Anti-money Laundering Specialists and the International Compliance Association respectively.

$ HKD$1,500
HKD$500 (Study Guides Fee (optional) Students can purchase a set of ECF on AML/CFT (Core & Professional Levels) study guides at the HKIB office or by courier service.)

D 6 hours | To be advised

English

Commencement Date	Jun 2020

Postgraduate Diploma in Management and Insurance
Programme Code: AC056A

Application Code: 1850-AC056A

CEF Modules 6

2867 8469 / 2867 8314 | pgdipmi@hkuspace.hku.hk

This programme is a one year part-time programme designed to equip with middle / senior Management competencies in insurance risk and management. The Postgraduate Diploma comprises 10 modules, of which two modules are eligible for CEF reimbursement. Students could also complete 5 modules to be awarded the Postgraduate Certificate.

The programme adopts the Specification of Competency Standards (SCSs) for the insurance industry under HKQF in its curriculum design. It uses a work-based approach, equipping students with the appropriate level of skills to address the managerial and risk management aspects in the insurance industry under an increasingly stringent regulatory environment.

R Applicants shall:
I) a) hold a bachelor's degree awarded by a recognized institution; or
b) a recognized professional qualification plus five years of full-time work experience at senior managerial level in related industries.
AND
II) provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications and relevant work experience will be considered on individual merit.

$ HK$3,600 per module
Application Fee: HK$200 (Non-refundable) | Weekends

D 12 months to 24 months | English

Q Level 6 (Reg. No.: 16/000724/L6) Validity Period: 01 Oct 2016 - on-going

Application Deadline	Sep 2020
Commencement Date	Oct 2020

Advanced Diploma in Financial Planning
Programme Code: FN005A

Application Code: 1845-FN005A

CEF Modules 4

2867 8468 | cfp@hkuspace.hku.hk

This programme prepares students for the CFPCM Certification Examination (Foundation Level) and Certified Financial Planning CM Level 1 and 2 examinations organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, graduates of that degree programme can apply for ENU's Master of Science in International Banking and Finance programme with alumni discount.

R Applicants should
- have gained in the HKCEE Grade E in 3 subjects and Level 2 in Chinese Language and English Language; OR
- have gained in the HKDSE Level 2 in 5 subjects; OR
- be aged 21 years of age or above and have 3 years of work experience.

*With effect from 2007, HKU SPACE recognizes Grade E previously awarded for Chinese Language and English Language (Syllabus B) (Grade C in the case of English Language (Syllabus A)) at HKCEE as an acceptable alternative to Level 2 in these two subjects at HKCEE.

** Please upload copy of HKID and complete Employment Information while applying online.

$ Business modules HK$3,950 - HK$6,350
AFP module: HK$13,950
CFP level 1 & 2 modules: HK$4,750
* Course fees are subject to change without prior notice
Application Fee: HK$150 (Application fee is non-refundable)

D 1 year to 2 years | - Weekday evenings - Weekends

English | Hong Kong Island (To be advised)

Q Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going

Application Deadline	Aug 2020
Commencement Date	Sep 2020

Executive Certificate in Marine Insurance
Programme Code: EP142A

Application Code: 1835-EP142A

2867 8322 | ecmi@hkuspace.hku.hk

The programme will primarily appeal to financial services executives who wish to equip themselves with latest knowledge in marine insurance for their professional advancement. The secondary target market includes business executives or new graduates who aim to take up opportunities in insurance sector with a focus of marine insurance.

R (a) hold a bachelor's degree awarded by a recognized university or equivalent; or
(b) hold an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
(c) hold relevant professional qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

$ HK$9,000 per programme
HK$3,000 (*only applicable to insurance practitioners including insurer staff / insurance intermediaries who can join this programme recognized by the Government's Pilot Programme to Enhance Talent Training for the Insurance Sector and receive two-thirds of tuition subsidy.)
Application Fee: HK$150 (Non-refundable)

R Minimum Entry Requirements 基本入學要求(P.340) | $ Fee 學費 | D Duration 修業期 | Date/Time 日期/時間 | Venue 地點
Tutor 導師 | Medium of Instruction 教學語言 | Q Qualifications Framework 資歷架構 | E Exemption 豁免 | S Short Course 短期課程

See legend on page 2 & 8
圖像說明於第2及8頁

D 6 months
English
- Sat
- Weekends

Application Deadline	Jun 2020
Commencement Date	Jul 2020

Executive Certificate in Medical Insurance and Health Planning for Retirement
Programme Code: EP138A

Application Code: 1790-EP138A

2867 8476 finedec@hkuspace.hku.hk

This programme aims to equip wealth management practitioners with the latest knowledge and best practices in the fields of medical insurance and retirement planning. It provides a broad overview of all main categories of insurance contracts in the areas of medical and retirement planning. The programme focuses on the best practices of medical insurance and health planning for retirement in Hong Kong.

R
- hold a bachelor's degree awarded by a recognized university or equivalent; or
- hold an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- hold relevant professional qualifications.

$ HK$6,000
Application Fee: HK$150 (Non-refundable)

D 2 months to 3 months

English

- Weekday evenings
- Weekends

- Hong Kong Island Campus
- Kowloon East Campus

Application Deadline	Jun 2020
Commencement Date	13 Jun 2020

Chartered Financial Analyst Level 1 Education Programme
Programme Code: FINA9017

2867 8468 cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 1 Education Programme to help candidates prepare for the forthcoming examinations in June, 2020.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

$ HK$10,300 (including comprehensive set of notes, study materials)

D 2 months

English

- Weekdays

Application Code: 1840-1312NW

Application Deadline	Jul 2020
Commencement Date	Aug 2020

Application Code: 1850-2049NW

Application Deadline	Sep 2020
Commencement Date	Oct 2020

Chartered Financial Analyst Level 2 Education Programme
Programme Code: FINA9018

Application Code: 1845-3007NW

2867 8468 cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 2 Education Programme to help candidates preparing for the forthcoming examinations in June.

$ HK$11,400 (including comprehensive set of notes, study materials)

D 3 months

English

- Sat, 1:00pm - 6:00pm
- Weekends

Application Deadline	Aug 2020
Commencement Date	Sep 2020

Chartered Financial Analyst Level 3 Education Programme
Programme Code: FINA9292

Application Code: 1845-3009NW

2867 8468 cfa@hkuspace.hku.hk

The programme provides a solid foundation for students to prepare for CFA level 3 examination offered by CFA Institute.

$ HK$12,000 (including comprehensive set of notes, study materials)

D 3 months

English

Weekday evenings

Application Deadline	Aug 2020
Commencement Date	Sep 2020

Chartered Financial Analyst Refresher Course - Level 1
Programme Code: FINA9277

Application Code: 1855-1346NW

2867 8468 cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 1 exam questions.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

$ HK$2,000

D 10 hours

Cantonese supplemented with English; course material will be in English.

- Sun, 9:30am - 8:30pm
- Weekends

Application Deadline	Oct 2020
Commencement Date	Nov 2020

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

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Chartered Financial Analyst Refresher Course - Level 2
Programme Code: FINA9278

Application Code: 1855-1350NW

2867 8468 | cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

- R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.
- $ HK$2,000
- English
- D 10 hours
- Sun, 10:00am - 9:00pm (lunch break: 1:00pm - 2:00pm)
  - Weekends

Application Deadline	Oct 2020
Commencement Date	Nov 2020

Chartered Financial Analyst Refresher Course - Level 3
Programme Code: FINA9324

Application Code: 1855-1348NW

2867 8468 | cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

- R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.
- $ HK$2,000
- English
- D 10 hours
- Sun, 10:00am - 9:00pm (one-hour lunch time)
  - Weekends

Application Deadline	Oct 2020
Commencement Date	Nov 2020

Designing a Detailed Retirement Plan
課程編號：FINA9263

報名代碼：1855-1279NW

2867 8331 | finsc@hkuspace.hku.hk

本工作坊集中於教授如何為個人設計一份針對退休生活的財務策劃。你將會為自己度身訂製一個專屬於你自己的退休計劃，請務必攜帶計數機。

- $ HK$1,680
- 逢周六，10:00am - 5:00pm
  - 周末
- D 1日
- 港島區分校
- 粵語

截止報名日期	2020年11月
開課日期	2020年11月

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Award in General Insurance (Hong Kong)
Programme Code: FINA9343

2867 8475 | cii@hkuspace.hku.hk

Unit WH1 prepares you for a career in the insurance industry. It sets the scene by introducing the concept of risk and how it is managed, as well as the different categories of risk. It also explains how insurance acts as a risk transfer mechanism and introduces the main classes of insurance. Finally, it looks at the role and importance of ethics in the insurance industry, including the Chartered Insurance Institutes Code of Ethics.

Designed for insurance practitioners working in Hong Kong, this single-unit is ideal for those starting their careers in the Hong Kong insurance sector.

- R Students who are aged over 18 and eligible to sit for CII Professional Examination.
- $ HK$2,100
- Weekday evenings
- D 7 weeks to 10 weeks
- English, supplemented with Cantonese

Application Deadline	Aug 2020
Commencement Date	Sep 2020

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Underwriting (non-UK)
Programme Code: FINA9344

2867 8475 | cii@hkuspace.hku.hk

The WUE unit provides you with knowledge and understanding of the role of underwriting including identification, assessment and acceptance of risk, rating and relevant financial factors which can be applied to your region.

It will encompass underwriting procedures, considerations applicable to the main classes of general insurance business, the structure and content of policy wordings, premium payment, renewal and cancellation, as well as the main aspects of rating. The developments in related products and services are also discussed, and we conclude by introducing the principles involved in managing the underwriting account.

- R Students who are aged over 18 and eligible to sit for CII Professional Examination.
- $ HK$2,100
- Weekday evenings
- D 7 weeks to 10 weeks
- English, supplemented with Cantonese

Application Deadline	Aug 2020
Commencement Date	Sep 2020

See legend on page 2 & 8
圖像說明於第2及8頁

個人財務策劃工作坊系列 - 整合財務安排及保險策劃

課程編號：FINA9335

報名代碼：1840-1285NW

2867 8331 finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

- $ HK$1,200
- 逢周五，7:00pm - 10:00pm
- D 2個星期
- 粵語
- 港島區分校

截止報名日期	2020年7月
開課日期	2020年8月

個人財務策劃工作坊系列 - 財務策劃及信托安排

課程編號：FINA9334

報名代碼：1840-1288NW

2867 8331 finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

- $ HK$1,200
- 逢周一、周五，7:00pm - 10:00pm
- D 1個星期
- 粵語
- 港島區分校

截止報名日期	2020年7月
開課日期	2020年8月

個人財務策劃工作坊系列 - 財務策劃及遺產管理

課程編號：FINA9333

報名代碼：1840-1291NW

2867 8331 finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

- $ HK$1,200
- 逢周一、周五，7:00pm - 10:00pm
- D 1個星期
- 粵語
- 港島區分校

截止報名日期	2020年8月
開課日期	2020年8月

保險實務文憑

課程編號：AC101A

2867 8487 / 2867 8314 dipip@hkuspace.hku.hk

本課程旨在教授學員保險行業的實務知識，並發展業界所需的技能。課程採用實例教學方法，向學員教授保險原理、產品和銷售的相關知識。

- R 申請人應該：
  - 於香港中學文憑考試考獲五科第二級或以上的成績（包括中國語文科及英國語文科）；或
  - 於香港中學會考考獲三科E級，及在中國語文科和英國語文科考獲第二級或以上的成績*；或
  - 成功完成「毅進計劃」或「毅進文憑」課程；或
  - 年滿十八歲，並具一年或以上相關工作經驗。

  申請人若持有其他資格，學院將按個別情況考慮。

  * 自2007年起，香港大學專業進修學院對在香港中學會考考獲中國語文科及英國語文科（課程乙）E級成績（即英國語文科（課程甲）考獲C級），均視作等同於香港中學會考中國語文科和英國語文科考獲第二級的成績。
- $ 每科學費 HK$3,000，四科合共 HK$12,000
  報名費用：HK$150（取錄與否，恕不退還）
- D 15個月至20個月
- 粵語
- Q 資歷架構級別：3 資歷名冊登記號碼：20/000076/L3
  資歷名冊登記有效期：2020年3月1日 - 持續有效

開課日期	2020年6月 / 2020年11月

Advanced Diploma in FinTech

Programme Code: FN049A

Application Code: 1845-FN049A

2867 8312 camy@hkuspace.hku.hk

The programme aims to provide fundamental knowledge on finance applications of blockchain technology, digital currencies, big data and financial analytics. It covers digital banking, payment systems, blockchain, cryptocurrencies, peer to peer lending, crowdfunding, quantitative methods in financial analysis and big data analytics in finance. The programme develops students with programming skills in Python and put them into practice to solve real world finance problems.

- R Applicants shall have gained in the HKDSE Examination Level 2 in 5 subjects including English Language and Chinese Language or equivalent.

  Applicants who do not possess the above academic qualifications but are aged 21 or above with relevant work experience will be considered on individual merit.
- $

Module 1: Introduction to FinTech	HK$6,000
Module 2: Principles of Finance	HK$4,250
Module 3: Programming for FinTech Applications	HK$6,000
Module 4: Quantitative Methods for Finance	HK$4,250
Module 5: Blockchain Applications and FinTech	HK$4,250
Module 6: Big Data Applications and Financial Analytics	HK$4,250
Application Fee: HK$200 (Non-refundable)	

- D 1 year
- English
- Q Level 4 (Reg. No.: 20/000091/L4) Validity Period: 01 Mar 2020 - on-going

Application Deadline	31 Jul 2020
Commencement Date	4 Sep 2020

NCR[1] This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR[2] These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR[3] The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。