Investment Management
投資管理

Big Data and FinTech Executive Workshop Series - FinTech and Cyber-security
Programme Code: FINA9342

Application Code: 1840-1251NW

2520 4612 | finedec@hkuspace.hku.hk

The workshop aims to provide executives with the latest development of FinTech and related technological elements. Solutions and applications using in finance and investment as well as cyber-security will be covered.

- R There is no specific entry requirement.
- $ HK$2,000 per module
- Weekday evenings
- D 5 hours
- Hong Kong Island Campus
- Kowloon East Campus
- Cantonese supplemented with English

Application Deadline	Jul 2020
Commencement Date	Aug 2020

Postgraduate Certificate in Banking and Corporate Treasury Management
Programme Code: FN043A

Application Code: 1850-FN043A

2867 8322 | tmm@hkuspace.hku.hk

The target student groups for this programme are financial services practitioners who need to understand treasury from the customer's perspective and would like to pursue in-depth knowledge of banking and corporate treasury function in global financial markets. Practitioners such as front office dealers, specialists in treasury banking operations, corporate treasury specialists, treasury risk analysts, treasury management product analysts, treasury and pension officers, corporate treasury back office specialists, internal auditors in treasury operations, or consultants are suitable to attend the programme.

TMA will offer the TMA Level 2 (Full Member) membership to graduates who have successfully completed this programme.

- R Applicants shall hold a bachelor's degree awarded by a recognized institution.

  If the degree is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency such as:
  - an overall band of 6.0 with no subtests lower than 5.5 in the IELTS;
  - a score of 550 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL, or a score of 80 in the internet-based TOEFL;
  - HKALE Use of English at Grade E;
  - HKDSE Examination English Language at Level 3 or above; or
  - equivalent qualifications.

  Applicants with other qualifications will be considered on individual merit.
- E Students may apply for exemptions of up to one module of the programme. Exemptions may be granted for individual module if the student has successfully completed a module of comparable content and standard. Application for exemptions will be deliberated and decided by the Programme Team.
- $ $6,240 per module
  Application Fee: HK$150 (Non-refundable)
- Weekday evenings
- D 12 months
- English
- Q Level 6 (Reg. No.: 17/000492/L6) Validity Period: 19 May 2017 - on-going

Application Deadline	Sep 2020
Commencement Date	Oct 2020

Postgraduate Diploma in Applied Financial Engineering
Programme Code: FN041A

Application Code: 1775-FN041A

2867 8476 | finpgd@hkuspace.hku.hk

Financial engineering requires the application of mathematical methods and information technology to analyze financial problems quantitatively. Financial engineers are responsible for creating structured financial products, building mathematical risk models as well as developing algorithmic trading system which facilitate effective investment decision making, managing financial and investment risk with quantitative modeling and computing technology. This programme is designed for those who plan to pave their career path as a financial engineer or quantitative analyst. The programme is taught by financial and investment practitioners, who are all senior professionals from investment banks or finance industry.

- R Applicants shall:
  - A) i) hold a bachelor's degree awarded by a recognized institution, with 3 years' relevant work experience; OR
    ii) possess a recognized qualification in financial industry such as CFA, FRM, etc. with 3 years' relevant work experience; and
  - B) provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
    i) an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
    ii) a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
    iii) HKALE Use of English at Grade E or above; or
    iv) HKDSE Examination English Language at Level 3 or above; or
    v) equivalent qualifications.

  Applicants with other professional qualifications and relevant work experience will be considered on individual merit.
- $ HK$3,900 per module (*course fees are subject to change without prior notice)
  Application Fee: HK$150 (Non-refundable)
- D 1 year to 2 years
- Weekday evenings
- Weekends
- English
- Admiralty Learning Centre/ United Learning Centre/ HKU SPACE Po Leung Kuk Stanley Ho Community College (HPSHCC) Campus/ Island East Campus/ Fortress Tower Learning Centre
- Q Level 6 (Reg. No.: 17/000061/L6) Validity Period: 13 Feb 2017 - on-going

Commencement Date	To be advised

Postgraduate Diploma in Investment Management
Programme Code: FN018A

Application Code: 1785-FN018A

2867 8476 | finpgd@hkuspace.hku.hk

This Postgraduate Diploma is one of the most comprehensive courses offered by HKU SPACE in Investment Management. It is designed to follow the CFA syllabus and to focus on the current market trends and regulatory progression. This programme attracts a diverse group of students with background from finance, engineering, technology, business, management, government. Tutors are all market practitioners.

- R Applicants shall hold a bachelor's degree awarded by a recognized institution.

  If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
  - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
  - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
  - HKALE Use of English at Grade E or above; or
  - HKDSE Examination English Language at Level 3 or above; or
  - equivalent qualifications.

  Applicants with other qualifications will be considered on individual merit.

HK$6,900 per module (for Module 1-6: Analytical Tools for Investment Management, Financial Management, Debt Investments, Equity and Alternative Investments, Derivative Investments, Portfolio Management *course fees are subject to change without prior notice)
Application Fee: HK$150 (Non-refundable)

12 months to 18 months

- Mon - Fri
- Weekday evenings

Hong Kong Island

English

Level 6 (Reg. No.: 09/001881/6) Validity Period: 05 May 2008 - on-going

Commencement Date	To be advised

Postgraduate Diploma in Investment Management and Financial Intelligence
Programme Code: FN044A

Application Code: 1785-FN044A

CEF Modules 6

2867 8476 finpgd@hkuspace.hku.hk

The programme aims to:
- impart financial and investment management knowledge and skills to students to enhance their financial decision making;
- facilitate students to understand and analyze contemporary issues as well as the latest development in the financial world;
- prepare students to sit for the CFA examinations based on the Candidate Body of Knowledge;
- equip students with the latest technologies on Big Data and Artificial Intelligence for financial industry;
- stimulate students to apply financial intelligence to perform investment management.

Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:
i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
iii. HKALE Use of English at Grade E or above; or
iv. HKDSE Examination English Language at Level 3 or above; or
v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

HK$6,900 per module (* course fees are subject to change without prior notice)
Application Fee: HK$150 (Non-refundable)

1 year to 2 years

- Mon - Sat
- Weekday evenings
- Weekends

Hong Kong Island

English

Ms. Isa Kwok/ Mr. Yeung/Mr. Lo/ Mr. Ken Liu/ Dr. Kundi/ Ms. Tse/ Mr. Cyrus Tsuii

Level 6 (Reg. No.: 17/001054/L6) Validity Period: 01 Jan 2018 - on-going

Commencement Date	To be advised

Executive Certificate in Corporate Credit Management
Programme Code: EP075A

Application Code: 1860-EP075A

2867 8476 finedec@hkuspace.hku.hk

Executive Certificate in Corporate Credit Management aims to equip students with current knowledge and practical skills in managing credit risk of corporations. It covers both quantitative and qualitative analysis in corporate credit valuations including case study analysis. This course introduces credit analysis based on Cash Flow Based Lending doctrine which is the shift from the use of accrual based accounting information to the use of cash based financial information for lending decisions.

Applicants shall hold:
a) a bachelor's degree awarded by a recognized University or equivalent; or
b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of working experience.

Students should have basic knowledge of Accounting and Mircosoft Excel.

** Please upload copy of HKID and proof of degree while applying online.

HK$6,000
Application Fee: HK$150 (Non-refundable)

3 months

- Tue, Thu, 7:00pm - 10:00pm
- Weekday evenings

English

Admiralty Learning Centre/ United Learning Centre/ Hong Kong Island

Application Deadline	Dec 2020
Commencement Date	Dec 2020

Executive Certificate in Entrepreneurial Finance
Programme Code: EP073A

Application Code: 1860-EP073A

2520 4612 finedec@hkuspace.hku.hk

This programme aims to provide students with the knowledge and analytical skill sets for to make strategic financial decision for startup business or investment in new business. Topics include financial decision in entrepreneurship process, introduction of various capital sources available for new business, and knowledge of risk management in the setting up of business. The programme is delivered in MBA style – the teachers at CFO levels will guide you through HBR case studies so you learn how a real financial decision maker thinks in real situation.

Applicants shall hold:
a) a bachelor's degree awarded by a recognized University or equivalent; or
b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
c) a recognized professional qualification.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

HK$16,800 per programme (payable 2 instalments)
Application Fee: HK$150 (Non-refundable)

4 months

Weekends

Admiralty Learning Centre/ United Learning Centre/ Fortress Tower Learning Centre

English

Commencement Date	Dec 2020

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management
投資管理

Executive Certificate in Investor Relations
Programme Code: EP024A

Application Code: 1840-EP024A

2867 8322 | ecertir@hkuspace.hku.hk

The profession of Investor Relations (IR) has developed rapidly in recent years. This programme provides the key concepts and practices in IR with a range of practical tools, applications and research. Topics include regulations, compliance, communications and IR practices. The programme is taught by seasoned IR practitioners. Graduates will obtain a one-year Hong Kong Investor Relations Association (HKIRA) Associate Membership to enhance their network in IR field.

R Applicants shall:
(i) hold a bachelor's degree awarded by a recognized institution or equivalent; or
(ii) hold an Associate Degree / a Higher Diploma awarded by a recognized institution or equivalent and have 2 years work experience.

Applicants with other qualifications will be considered on individual merits.

$ Module 1 (15 hour): HK$2,500 Theory and Practice of Investor Relations
Module 2 (15 hour): HK$2,400 Finance Essentials for Investor Relations
Module 3 (15 hour): HK$2,400 Corporate Governance and Ethics in Investor Relations
Application Fee: HK$150 (Non-refundable)

D 4 months

- Sat
- Weekends

English

Commencement Date	Aug 2020

Executive Diploma in Financial Decision Making
Programme Code: EP023A

Application Code: 1835-EP023A

2867 8476 | finedec@hkuspace.hku.hk

This programme adopts case studies to provide non-financial executives with real life examples on the fundamentals of and practical skillsets in business finance and corporate accounting. This programme consists of four modules: two finance modules and two corporate accounting modules. Students can complete an executive diploma in a minimum of six months.

R Applicants shall hold:
- a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- relevant and recognized professional qualifications in related business area; and have at least 3 years of work experience in the relevant industry. Or
- an Associate Degree / Higher Diploma or equivalent with at least 6 years of work experience in the relevant industry is required.

** Please upload copy of HKID and proof of degree while applying online.

$ HK$3800 per module (course fees are subject to change without prior notice)
Application Fee: HK$150 (Non-refundable)

D 8 months

- Sat, 2:00pm - 9:00pm
- Weekends

English

Admiralty Learning Centre/ United Learning Centre/ Hong Kong Island

Application Deadline	Jul 2020
Commencement Date	Jul 2020

Executive Diploma in Investment Banking
Programme Code: EP021A

Application Code: 1840-EP021A

CEF Modules | NA

2867 8476 | finedec@hkuspace.hku.hk

This programme provides an overview of the various aspects of the investment banking business and is particularly relevant to professionals who are engaged in corporate development and corporate finance functions within a commercial firm.

R Applicants shall hold:
a) a bachelor's degree awarded by a recognized University or equivalent; or
b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of relevant working experience.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

** Please upload copy of HKID and proof of degree while applying online.

$ HK$6,350 per module
Application Fee: HK$150 (Non-refundable)

- Sat, 2:00pm - 8:00pm
- Weekends

D 6 months

English

Admiralty Learning Centre/ United Learning Centre/ Hong Kong Island

Application Deadline	Aug 2020
Commencement Date	Aug 2020

Executive Diploma in Merger and Acquisition
Programme Code: EP020A

Application Code: 1840-EP020A

CEF Modules | NA

2867 8476 | finedec@hkuspace.hku.hk

Executive Diploma in Merger and Acquisition provides an overview of the various aspects of M&A transactions and is particularly relevant to professionals who are engaged in corporate strategic planning, finance, business development and giving out legal advice.

R Applicants shall hold:
a) a bachelor's degree awarded by a recognized University or equivalent; or
b) an Associate Degree / a Higher Diploma or equivalent and have at least 2 years of relevant working experience.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

** Please upload copy of HKID and proof of degree while applying online.

$ HK$6,350 per module (course fees are subject to change without prior notice)
Application Fee: HK$150 (Non-refundable)

D 6 months

- Sat, 2:00pm - 8:00pm
- Weekends

English

Admiralty Learning Centre/ United Learning Centre/ Hong Kong Island

Application Deadline	Aug 2020
Commencement Date	Aug 2020

R Minimum Entry Requirements 基本入學要求(P.340) | $ Fee 學費 | D Duration 修業期 | Date/Time 日期/時間 | Venue 地點
Tutor 導師 | Medium of Instruction 教學語言 | Q Qualifications Framework 資歷架構 | E Exemption 豁免 | S Short Course 短期課程

See legend on page 2 & 8
圖像說明於第2及8頁

Executive Diploma in Sustainable Finance and Investment
Programme Code: EP117A

Application Code: 1845-EP117A

2867 8331 finedec@hkuspace.hku.hk

The programme aims to give participants a holistic view of the latest development of sustainable finance and investment. The topics cover a wide range of hottest issues in this field from basic sustainable investment to more in-depth social venture capital. As this field is a cross-disciplinary study and still emerging, a practical approach is adopted to outline the mindset change of decision makers with real-life case studies.

Students may choose to exit the programme after completing Module 1 for an Executive Certificate award.

R Applicants shall hold:
a) a bachelor's degree awarded by a recognized University or equivalent; or
b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of relevant work experience.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

** Please upload copy of HKID and proof of degree while applying online.

$ HK$8,000 per module (course fees are subject to change without prior notice)
Application Fee: HK$150 (Non-refundable)

D 5 months

- Sat, 1:00pm - 7:00pm
- Weekends

English supplemented with Cantonese

- Hong Kong Island Campus
- Kowloon East Campus

Application Deadline	Aug 2020
Commencement Date	Sep 2020

AFP 資格認證試題研習班
課程編號：FINA9293

2867 8468 cfp@hkuspace.hku.hk

This course will help students go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

$ HK$2,000

- 逢周六至周日，1:00pm - 6:00pm
- 周末

D 10小時

以粵語為主

報名代碼：1790-1170NW

開課日期	2020年6月

報名代碼：1845-1032NW

截止報名日期	2020年8月
開課日期	2020年9月

報名代碼：1860-1102NW

截止報名日期	2020年11月
開課日期	2020年12月

股市大數據投資攻略
課程編號：FINA9306

報名代碼：1845-2723NW

2867 8331 finsc@hkuspace.hku.hk

課程介紹各種不同的取數方法來解讀公司狀態，如: 盈喜盈警數據,績優績差數據，賣殼或私有化數據等等。香港交易所發布的本地股票市場數據及各種互聯網經濟數據複雜繁多，如何利用各種解讀方式從而判斷投資決策，系統式數據能有效增強投資收益。

$ HK$1,680

- 逢周二、周四，7:00pm - 10:00pm
- 平日晚上

D 3個星期

港島區分校

廣東話

截止報名日期	2020年9月
開課日期	2020年9月

Business Investment Decisions for Non-Financial Executives
Programme Code: FINA9020

Application Code: 1835-1580NW

2867 8476 finedec@hkuspace.hku.hk

This module is tailored for non-financial executives as an introduction to the concepts of financing and investment decisions. Key topics include Introduction to Financial Statement and Ratios, Time Value of Money, Discounted Cash Flow, Valuation of Bonds, Valuation of Stocks, Capital Budgeting, Risk and Return, and Portfolio Theory.

R Applicants shall hold:
- bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- a recognized professional qualification.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

$ HK$3,800

D 4 weeks

Weekends

English

Admiralty Learning Centre/ United Learning Centre/ Hong Kong Island

Application Deadline	Jul 2020
Commencement Date	Jul 2020

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management
投資管理

Business Valuation and Financing
Programme Code: FINA9308

Application Code: 1840-1299NW

2867 8476 | finedec@hkuspace.hku.hk

This module is designed to provide students with an understanding of the methods of business valuation. Topics include: an overview of the financing process, the pros and cons of using financial ratios and interpretations of financial statements, as well as a review of the shortcoming of capital budgeting tools.

R Applicants should:
- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. Or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

$ HK$6,350 (course fees are subject to change without prior notice)

D 5 weeks

- Sat, 2:00pm - 8:00pm
- Weekends

English

- United Learning Centre
- Hong Kong Island

Application Deadline	Aug 2020
Commencement Date	Aug 2020

投資管理證書
課程編號：FN030A

報名代碼：1850-FN030A

CEF Modules 3

2867 8322 | ciim@hkuspace.hku.hk

近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：
a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
c) 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

$ 單元一 (30 小時)：HK$3,850 股票投資策略
單元二 (30 小時)：HK$3,850 金融技術及趨勢分析
單元三 (40 小時)：HK$5,100 外匯、衍生工具、結構性產品及對沖基金交易策略
三個單元共HK$12,800
報名費用：HK$150

D 9個月

- 平日晚上
- 周末

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：15/002752/L3
資歷名冊登記有效日期：2015年7月24日 - 持續有效

截止報名日期	2020年9月
開課日期	2020年10月

證書(單元：股票投資策略)
課程編號：FN032A

報名代碼：1850-FN032A

3

2867 8322 | ciim@hkuspace.hku.hk

近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：
a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
c) 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

$ HK$3,850

D 3個月

平日晚上

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：15/002754/L3
資歷名冊登記有效日期：2015年7月24日 - 持續有效

截止報名日期	2020年9月
開課日期	2020年10月

證書(單元：外匯、衍生工具、結構性產品及對沖基金交易策略)
課程編號：FN034A

報名代碼：1790-FN034A

3

2867 8322 | ciim@hkuspace.hku.hk

近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：
a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
c) 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

$ HK$5,100

D 3個月

- 平日晚上
- 周末

粵語

開課日期	2020年6月

R Minimum Entry Requirements 基本入學要求(P.340) $ Fee 學費 D Duration 修業期 Date/Time 日期/時間 Venue 地點
Tutor 導師 Medium of Instruction 教學語言 Q Qualifications Framework 資歷架構 E Exemption 豁免 S Short Course 短期課程

See legend on page 2 & 8
圖像說明於第2及8頁

證書（單元：金融技術及趨勢分析）
課程編號：FN033A

報名代碼：1835-FN033A

2867 8322 | ciim@hkuspace.hku.hk

近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對冲基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市況的投資策略。

R 申請人必須：
a) 於香港中學會考獲5科E級，包括於中國語文科（2007年或以前）；或
b) 於香港中學會考獲4科E級及中國語文科第2級（2007年或以後）；或
c) 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

$ HK$3,850 | 平日晚上
D 3個月 | 粵語
Q 資歷架構級別：3 資歷名冊登記號碼：15/002755/L3
資歷名冊登記有效日期：2015年7月24日 - 持續有效

截止報名日期	2020年6月
開課日期	2020年7月

衍生工具
課程編號：FINA9008

報名代碼：1845-2703NW

2867 8331 | finsc@hkuspace.hku.hk

本課程旨在幫助投資者瞭解衍生工具的基本知識，從而減少投資風險及增加收益。課程內容包括：衍生產品市場概覽、認股證、恒指輪證、牛熊證、期貨、遠期市場和利用期貨套期保值、掉期、期權市場、衍生工具交易策略，以及結構產品。

$ HK$1,680 | - 逢周一，7:00pm - 10:00pm
- 平日晚上
D 5個星期
港島區分校 | 以粵語為主

截止報名日期	2020年9月
開課日期	2020年9月

Due Diligence, Tax and Accounting Issues in M&A
Programme Code: FINA9312

Application Code: 1855-1315NW

2867 8476 | finedec@hkuspace.hku.hk

This module is designed to provide an overview of the accounting and tax aspects with issues encountered in the merger and acquisition exercises nowadays and the related due diligence process.

R Applicants should:
- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least 3 years of work experience in the relevant industry. or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

$ HK$6,350 (course fees are subject to change without prior notice)
D 6 weeks | - Tue, Thu, 7:00pm - 10:00pm
- Weekends
English | - Fortress Tower Learning Centre
- Hong Kong Island

Application Deadline	Nov 2020
Commencement Date	Nov 2020

股票及投資技術分析
課程編號：FINA9010

報名代碼：1835-1534NW

2520 4612 | finsc@hkuspace.hku.hk

本課程旨在協助投資者掌握正確的股票投資技巧。課程內容包括：基本分析、技術分析、圖表應用實例、股票買賣的技巧、基礎期權理論、期權策略，以及如何應付牛皮市、熊市和大牛市。

$ HK$1,680 | - 逢周二，7:00pm - 10:00pm
- 平日晚上
D 5個星期 | 港島區分校
以粵語為主；教材以中文為主

截止報名日期	2020年7月
開課日期	2020年7月

Examination Preparatory Course for Chartered Alternative Investment Analyst (CAIA) Level I
Programme Code: FINA9260

Application Code: 1790-1491NW

2975 5767 | stella.chung@hkuspace.hku.hk

The Chartered Alternative Investment Analyst (CAIA) Charter is the globally recognized credential for professionals managing, analysing, distributing or regulating alternative investments. The CAIA Charter designation is the highest standard of achievement in alternative investment education and provides deep knowledge, demonstrated expertise, and global credibility in alternatives. CAIA Association includes over 8,000 members in more than 80 countries. The course targets at students who want to develop a deep level of knowledge and expertise in alternative investments and it is especially suitable for risk managers, analysts, portfolio managers, traders and consultants.

R There is no specific entry requirement. | $ HK$5,400
D 3 months | - Tue, 7:00pm - 10:00pm
- Weekday evenings
English

Commencement Date	Jun 2020

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。
NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。
NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management
投資管理

Examination Preparatory Course for Financial Risk Management (FRM) Part I
Programme Code: FINA9033

Application Code: 1840-1294NW

2867 8322 | pdfrm@hkuspace.hku.hk

This program provides in-depth coverage of the four topics examined in the Financial Risk Manager Part I examination and offers extensive examinations preparations.

- There is no specific entry requirement.
- HK$5,400
- 3 months
- Weekday evenings
- English

Application Deadline	Jul 2020
Commencement Date	Aug 2020

八字五行易卦風水論金融投資運勢
課程編號：FINA9288

報名代碼：1835-1541NW

2867 8331 | finsc@hkuspace.hku.hk

本課程旨在介紹八字，五行，易卦，風水一同牽引金融投資運勢。透過八字風水與投資金融概念混合，讓同學可以從新的角度掌握投資技巧！

- HK$1,680
- 5個星期
- - 逢周四，7:00pm - 10:00pm
  - 周末
- 港島區分校
- 粵語

截止報名日期	2020年7月
開課日期	2020年7月

中小企財務管理
課程編號：FINA9332

報名代碼：1835-1557NW

2867 8331 | finsc@hkuspace.hku.hk

本課程為30小時短期課程，旨在教授學員識認中小企公司財務管理的知識，應用財務分析的方法，決定投資、借貸方向，讓公司不斷成長。此外，講者將分享財務管理實務，以訂立平衡的財務策略。

- HK$4,200
- - 平日
  - 周末
- 5個星期
- 粵語
- 港島區分校

截止報名日期	2020年7月
開課日期	2020年7月

外匯投資攻略
課程編號：FINA9320

報名代碼：1845-2758NW

2867 8331 | finsc@hkuspace.hku.hk

本課程結合經濟數據分析、技術分析以及風險管理，協助對外匯投資有興趣的人士了解匯市結構和操作。龐大的資金流動性、高度靈活性、以及24小時全天候運作，使外匯市場成為全球交易量最高的金融工具。

- HK$1,680
- - 逢周六，2:00pm - 5:45pm
  - 平日晚上
- 4個星期
- 以粵語為主；教材以英語為主
- 港島區分校

截止報名日期	2020年9月
開課日期	2020年9月

Fundamentals of Investment Banking
Programme Code: FINA9310

Application Code: 1850-2037NW

2867 8476 | finedec@hkuspace.hku.hk

This module is designed to provide students with an overview of the various aspects of the investment banking business. It will cover the role and major functions of investment banks, review the strategic issues faced by investment banks, identify the process in which investment banks issues securities to the public, the deal process, risks of investment banks, the role of the underwriting syndicate and fee structure, and review the concepts of various corporate finance transactions, such as initial public offerings, as well as mergers & acquisitions.

- Applicants should:
  - hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
  - hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
  - hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

  Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

  Executives working in other relevant industries are most welcome to apply.
- HK$6,350
- Sat, 2:00pm - 8:00pm
- 5 weeks
- English
- United Learning Centre/ Fortress Tower Learning Centre

Application Deadline	Oct 2020
Commencement Date	Oct 2020

See legend on page 2 & 8
圖像說明於第2及8頁

全球經濟數據解讀
課程編號：FINA9294

報名代碼：1835-1543NW

2867 8331 finsc@hkuspace.hku.hk

本課程將討論不同國家經濟數據的含義及其對期望與實際公佈的影響，並強調這些數據如何影響股票，利率和貨幣市場。

- HK$1,680
- 5個星期
- 港島區分校
- 逢周五，7:00pm - 10:00pm
- 周末
- 以粵語為主；教材以英語為主

截止報名日期	2020年7月
開課日期	2020年7月

香港統計在商業上的應用
課程編號：FINA9011

報名代碼：1840-1196NW

2520 4612 finsc@hkuspace.hku.hk

本課程旨在讓經理級人員、行政人員及策劃人員深入瞭解香港現有的統計資料，以及如何將這些資料應用於商業及預測上。

- HK$1,680
- 5個星期
- 由政府統計處專業人員主講
- 逢周三，7:00pm - 10:00pm
- 平日晚上
- 港島東分校
- 主要以廣東話講解

截止報名日期	2020年7月29日
開課日期	2020年8月5日

如何閱讀財務報表
課程編號：ACCT9075

報名代碼：1835-1537NW

2520 4612 finsc@hkuspace.hku.hk

本課程旨在教授閱讀及分析財務報表，讓學員認識及瞭解基本財務概念和公司財務狀況。課程內容包括：會計報表基本準則及基本法規；公司盈利分析及預測，以及引用市場實例以作解釋。

- HK$1,680
- 5個星期
- 港島區分校
- 逢周三，7:00pm - 10:00pm
- 平日晚上
- 以粵語為主；教材以英語為主

截止報名日期	2020年7月
開課日期	2020年7月

如何閱讀財務報表進階班
課程編號：ACCT9222

報名代碼：1845-2718NW

2867 8331 finsc@hkuspace.hku.hk

「如何閱讀財務報表進階班」課程旨在深入理解財務報表，課程將會使用財務報表作案例研究，並會教授資產、負債、權益、收入、費用等概念，如何為個體公司和集團企業編制通用的財務報告。此外，課程也涵蓋特定課題：納稅的影響，需披露的資料，資產重估，應用程序和財務報表的分析。

- HK$1,680
- 5個星期
- 港島區分校
- 平日晚上
- 以粵語為主；教材以英語為主

截止報名日期	2020年9月
開課日期	2020年9月

Interpretation of Financial Statements
Programme Code: ACCT9023

Application Code: 1850-2039NW

2867 8476 finedec@hkuspace.hku.hk

This module is an introduction to corporate accounting for non-financial executives. Topics include the theoretical concepts and practices involved in the recognition and subsequent accounting for assets, liabilities, equity, revenues, expenses and preparation of general-purpose financial reports for both single companies and groups of companies.

- Applicants shall hold:
  - a bachelor's degree awarded by a recognized University or equivalent; or
  - an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
  - a recognized professional qualification.

  Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.
- HK$3800 per module
- 4-6 weeks
- Admiralty Learning Centre/ United Learning Centre
- Weekends
- English

Application Deadline	Oct 2020
Commencement Date	Oct 2020

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。
NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。
NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management
投資管理

投資管理
課程編號：FINA9009

報名代碼：1845-2706NW

2867 8331 finsc@hkuspace.hku.hk

此為一精要而實用之投資課程，內容豐富實用，旨在協助投資人士掌握股票投資技巧及認識各種股票市場的衍生工具，運用期權對沖風險，現金流的運用技巧去控制投資風險，有助投資者尋找入市良機。

- HK$1,680
- 5個星期
- 港島區分校
- 逢周二，7:00pm - 10:00pm
- 平日晚上
- 以粵語為主；教材以中文為主

截止報名日期	2020年9月
開課日期	2020年9月

IPO, Private Equity and Hedge Funds
Programme Code: FINA9309

Application Code: 1835-1578NW

2867 8476 finedec@hkuspace.hku.hk

This module is designed to provide students with an understanding of the processes involved in structuring and executing IPO, Private Equity and Hedge Fund transactions. Topics include: a review of the bookbuilding and underwriting processes, case studies of IPO, Private Equity and Hedge Fund transactions, as well as legal and regulatory issues related to IPO, Private Equity and Hedge Funds.

- Applicants should:
  - hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
  - hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
  - hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

  Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

  Executives working in other relevant industries are most welcome to apply.
- HK$6,350 (course fees are subject to change without prior notice)
- 5 weeks
- English
- Sat, 2:00pm – 8:00pm
- United Learning Centre
- Hong Kong Island

Application Deadline	Jul 2020
Commencement Date	Jul 2020

西方數字學論經濟金融投資運勢
課程編號：FINA9322

報名代碼：1835-1551NW

2867 8331 finsc@hkuspace.hku.hk

本課程旨在認識生命能量數字學的分析方法，了解個人的性格及心理特質，營造良好的個人發揮方向和團體合作方案及提升工作效率成果，應用數字的力量在經濟、金融、投資及管理的商業範籌，從而令財富有所提升。

- HK$1,680
- 2個星期
- 港島區分校
- 逢周二、周六
- 平日晚上
- 周末
- 以粵語為主；教材以中文為主

截止報名日期	2020年7月
開課日期	2020年7月

Performance Management and Internal Controls
Programme Code: ACCT9022

Application Code: 1840-1301NW

2867 8476 finedec@hkuspace.hku.hk

This module is tailored for non-financial executives as an introduction to the concepts of cost analysis for management decisions. Topics include budget for control, standard costing and variance analysis using flexible budgets for material, labour and overhead, sales analysis using quantity, mix and volume analysis.

- Applicants shall hold:
  - bachelor's degree awarded by a recognized University or equivalent; or
  - an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
  - a recognized professional qualification.

  Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.
- HK$,3800 per module (course fees are subject to change without prior notice)
- 4 weeks
- - Admiralty Learning Centre
  - United Learning Centre
- Weekends
- English

Application Deadline	Aug 2020
Commencement Date	Aug 2020

投資風險管理
課程編號：FINA9012

報名代碼：1845-2713NW

2867 8331 finsc@hkuspace.hku.hk

本課程旨在協助投資者掌握風險管理知識及技術，並配合策略加以運用。
初次介紹：1. 什麼是風險 2. 如何量化風險 3. 套期保值的概念 4. 交易所買賣基金，5. VIX "恐慌指數產品"。減低投 資風險，增加投資回報。

- HK$1,680
- 5個星期
- 港島區分校
- 逢周二，7:00pm - 10:00pm
- 平日晚上
- 以粵語為主

截止報名日期	2020年9月
開課日期	2020年9月

See legend on page 2 & 8
圖像說明於第2及8頁

Strategic Issues of Merger and Acquisition
Programme Code: FINA9311

Application Code: 1845-2913NW

2867 8476 | finedec@hkuspace.hku.hk

This module is designed to enable participants on understanding the major strategic issues involved in M&A process. The module will focus on business oriented considerations in any M&A activities. At the end of the module, the participants are expected to have developed a sense of appreciation of the significant issues affecting the success and failure of M&A.

R Applicants should:
- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

$ HK$6,350 | Sat, 2:00pm - 8:00pm

D 5 weeks | English

Admiralty Learning Centre/ United Learning Centre/ Fortress Tower Learning Centre

Application Deadline	Sep 2020
Commencement Date	Sep 2020

財富增值系列：債券投資之穩操勝券
課程編號：FINA9337

報名代碼：1840-1283NW

2867 8331 | finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員學習固定收入證券投資的概念及術語，認識不同的債券產品，了解固定收入證券投資中潛在的風險及回報，以幫學員實現更好的投資決策。

$ HK$2,300

D 5個星期 | 逢周五，7:00pm - 10:00pm

港島區分校 | 以粵語為主；教材以中文為主

截止報名日期	2020年7月
開課日期	2020年8月

財富增值系列：貿易戰下之衍生工具投資攻略
課程編號：FINA9339

報名代碼：1840-1281NW

2867 8331 | finsc@hkuspace.hku.hk

本課程為15小時短期課程，旨在向學員介紹期權及結構性產品投資的概念及術語，專業講者將會分析當前在貿易戰下的投資環境，讓學員認識期權的運作及不同類型的結構性投資產品，並了解有關衍生工具及投資產品的潛在風險及回報，專業講者亦會分享期權及結構性投資產品的投資心得，以幫學員實現更好的投資決策。

$ HK$2,300 | - 逢周四，7:00pm - 10:00pm
- 平日

D 5個星期

港島區分校 | 以粵語為主；教材以中文為主

截止報名日期	2020年8月
開課日期	2020年8月

財富增值系列：指數指標投資攻略
課程編號：FINA9336

報名代碼：1780-1411NW

2867 8331 | finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在教授學員識認常見的經濟指數和財經指標，並了解其背後的投資啟示，以幫助投資者制定投資策略。此外，講者將分享技術指標的應用，以協助作出精明的投資決策。

$ HK$2,300

D 5個星期 | 逢周二，7:00pm - 10:00pm

港島區分校 | 以粵語為主；教材以中文為主

截止報名日期	2020年6月
開課日期	2020年6月

財富增值系列：大時代下之股票投資及技術分析
課程編號：FINA9338

報名代碼：1835-1835NW

2867 8331 | finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員介紹有關挑選持有升值潛力的上市公司及賣出股價有下跌可能的方法，觀察股票市場的投資情緒，並運用技術分析以助制定買賣決策。

$ HK$2,300 | - 逢周三，7:00pm - 10:00pm
- 平日

D 5個星期 | - 港大保良何鴻燊社區書院
- 港島區分校

以粵語為主；教材以中文為主

截止報名日期	2020年6月
開課日期	2020年7月

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。