



# Advanced Diploma in Finance (Investment)

### Application Details

Intake	Application Code	Application Fee	Receipt Number
<input type="checkbox"/> Jan 2025	2265-FN013A	HK\$150	
<input type="checkbox"/> May 2025	2285-FN013A		
<input type="checkbox"/> Sep 2025	2345-FN013A		

Application Fees are not refundable

### Personal Particulars

HKU SPACE Student No. \_\_\_\_\_ Title  Mr  Ms  
(if any)

(Please fill in the name accordance with the H.K.I.D. Card or Passport. The name will be used for official documentation.)

Given Names \_\_\_\_\_ Family Name \_\_\_\_\_  
Name in Chinese \_\_\_\_\_ Nationality \_\_\_\_\_  
H.K.I.D. / Passport No. \_\_\_\_\_ Date of Birth \_\_\_\_\_  
(Holders of H.K.I.D card must fill in their H.K.I.D. No.)  
(Applicants must present H.K.I.D. / Passport for verification purpose.)

Email Address \_\_\_\_\_ Mobile Number \_\_\_\_\_

Permanent HK Resident  Yes  No  
(If 'No', see Notes to Non-local Applicants)

Correspondence Address  Residential  Business  
\_\_\_\_\_

HK  KLN  NT  Others: \_\_\_\_\_

### Emergency Contact Person

In case of emergency, we may need to contact your family or friend. Please suggest.

Contact Person \_\_\_\_\_ Phone \_\_\_\_\_

### Application Procedure

Submit the following documents in person to HKU SPACE enrolment counters.

1. Application Form and a crossed cheque for HK\$150 application fee (Non-refundable) payable to "HKU SPACE".
2. Certified photo copies of full educational certificates and transcripts (please produce originals of the documents for certification upon admission at SPACE Learning Centre);
3. Testimonials or other documentary proof of the applicant's working experience for non-standard admission requirements.

After screening the documents, programme team will inform student by email for paying the course fee.

1. Please submit the Enrolment/Payment Slip and a crossed cheque payable to "HKU SPACE" to enrolment counter. Credit card (VISA / Master) payment are also acceptable at enrolment counter.
2. Course fee should be paid by semester;

Note:

1. An application form without copies of supporting documents **WILL NOT** be processed. You will be required to produce originals of the documents for certification upon admission to the programme. Payment for application fee and course fee by credit card (VISA / Master) are also acceptable.
2. Applicants are required to provide a HKID card for admission assessments and student records. Applicants will be asked to present HKID for verification if applying in person at enrolment counters or to attach a copy of HKID if applying by post.

## Qualifications & Employment

### Qualifications (In chronological order)

Please state qualifications relevant to the programme/course entry requirements you are applying for, and attach proof of qualifications specified in the requirements of the individual programme/course.

Examination / Awarding Institution	Subject / Degree Awarded	Grade	Date of Award

### Employment (In chronological order)

Please state your current employment, and your past working experience relevant to the programme/course entry requirements you are applying for, and attach proof of qualifications specified in the requirements of the individual programme/course.

Name of Company	Position	Full-time / Part-time	Date (From / To)

## HKU SPACE Alumni

All new enrolled students in the School will automatically receive a lifelong learner card which serves as the student card. It also confers eligibility to become an alumnus of HKU SPACE. If you DO NOT WISH to be an alumnus, please check this box.

## Equal Opportunities for Learning at HKU SPACE

The School supports equal opportunity and strongly opposes discrimination/harassment. The document, "Studying in HKU SPACE" is available from the school Prospectus/Website and sets out such policy more fully.

It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you.

Special assistance required                      Yes  (if you check yes, you agree to give us further information on your special needs and consent to our further processing of your data)                      No

## Statement on Collection of Personal Data

1. It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise the School may be unable to process and consider their applications.
2. The personal data provided to the school in this form will be used for processing your application for admission, and for registration, academic and administrative communication, alumni management and contacts, research, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorised third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
3. When the processing and consideration of all the applications for a particular programme have been completed: (a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and opt-in consent would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKU SPACE staff or by staff of an authorised third party providing services to the School in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential. Personal data collected arising from any payment for this application may be retained as statutorily required and for processing refund, if necessary.
4. Upon enrolment, applicants will be required to submit a recent colour photo for student identification purpose.
5. The School will send urgent messages to students via Short Message Services (SMS) and supplement by other means such as email (if the need arises). It is therefore important that the mobile phone number and email address that the applicant provides are accurate. Any change should be reported to the School immediately. Those who have genuine difficulty in receiving urgent messages via SMS should contact the programme teams for separate arrangements.

### ***Use of Personal Data related to Direct Marketing***

6. From time to time, the School will send the latest updates and promotional materials to applicants/students and alumni on the availability of the programmes and courses. This will also include seminars and events, discounts and offers, clinics and other services and fund-raising initiatives. Occasionally information from our parent University and fellow HKU subsidiaries may also be sent. Various communication channels will be employed such as direct-mail, email, mobile phone and other forms of social media, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your graduation year etc). You always have the right to make subsequent changes on your choice of receiving further marketing materials by sending a written unsubscribe request (by email or by post) to the School at any time.

**If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.**

From time to time, the alumni office will send the latest updates to alumni members on the alumni events, privileges and offers, volunteering activities, and networking opportunities to you. Various communication channels such as direct-mail, email and mobile phone and other forms of social media, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your enrolment year etc). You always have the right to make subsequent changes on your choice if receiving further information for alumni by sending a written unsubscribe request (by email or by post) to the ALUMNI at any time.

**If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.**

7. Under the provisions of the Personal Data (Privacy) Ordinance, applicants have the right to request the School to ascertain whether it holds your personal data, to be given a copy, and to apply for correction of the data, if deemed incorrect. Applications for access to personal data should be made by using a special request form and on payment of a fee. Such applications to access to information should be addressed to the Data Protection Officer, HKU SPACE. For general requests of personal data amendment, please fill out the "Application Form for Personal Data Amendment" and submit it to HKU SPACE.
8. For details on the School's policy on personal data (privacy), please refer to the School Prospectus or Website (<http://hkuspace.hku.hk/policy-statement/privacy-policy>).
9. HKU SPACE, being part of the University of Hong Kong, the Personal Information Collection Statement and the Supplement in relation to the General Data Protection Regulation of the University also apply where applicable and can be accessed from <http://www.aal.hku.hk/admissions/documents/pics.pdf> and <https://admissions.hku.hk/tpg/sites/default/files/GDPRPrivacyNoticeApplicantsandStudents.pdf>. Being in partnership with other overseas Universities, it also endeavours to comply to their laws and regulations as far as practicable. However, the School is obliged to maintain part of the students' records in perpetuity for reasons stated in this PIC.

## General Notes to Applicants

1. Enrolment can be done in person at or by post (specifying “Programme/Course Application”) to any of the School’s Enrolment Centres by completing this application form and submitting it with the appropriate application/course fee(s) and any required supporting documents.
2. Fees paid by crossed cheque or bank draft should be made payable to “HKU SPACE”.
3. If the programme/course is starting within five working days, application by post is not recommended to avoid any delays. Applicants are advised to enrol in person at HKU SPACE Enrolment Centres and avoid making cheque payment under this circumstance.
4. If admission is on a first come, first served basis and if you do not hear from us before the course starts, you may assume that your application has been accepted, and should attend the course as scheduled.
5. If admission is by selection, the official receipt is not a guarantee that your application has been accepted. We will inform you of the result as soon as possible after the closing date for application. Unsuccessful applicants will be given a refund of programme/course fee if already paid.
6. If you are applying to/have enrolled for more than one programme/course with HKU SPACE, please check to ensure that there are no scheduling conflicts before submitting your application form.
7. If you do not know the teaching venue within 3 days of the starting date of the course, please check with the relevant programme team.
8. Course fees paid are not refundable except as statutorily provided or under very exceptional circumstances (e.g. course cancellation due to insufficient enrolment).
9. Please refer to the HKU SPACE Prospectus and the official website for full details of enrolment procedures for HKU SPACE Programmes.

## Notes to Non-local Applicants

To study in Hong Kong, all non-local applicants are required to obtain a student visa issued by the Immigration Department of the HKSAR Government, EXCEPT for those admitted to Hong Kong as dependants, or on a Permit for Proceeding to Hong Kong or Macao (also known as “One-way Permit”), or under any of the schemes indicated in paragraph (I) below, who do not need prior approval before taking up full-time and part-time studies. Non-local applicants who are issued with a valid employment visa or training visa, or members of the Consular Corps, or foreign domestic helpers, or admitted under any of schemes listed in paragraph (II) below, also do not need prior approval to pursue part-time studies. It is the responsibility of individual applicants to make appropriate visa arrangements. Admission to an HKU SPACE academic programme/course does not guarantee the issue of a student visa. Applicants may wish to note that part-time courses are not considered by the Immigration Department for student visa purposes except for self-financed, part-time locally accredited taught postgraduate programmes awarded within the HKU system through HKU SPACE. For non-local applicants for all courses/programmes, as their visa/entry permit status may be subject to changes from time to time, and if they select to apply in person, are required to have their H.K.I.D. (if issued) and passport/visa documents photocopied by learning centre staff to facilitate verification. Non-local applicants applying by post are required to attach a copy of their H.K.I.D. (if issued) and passport/visa documents.

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|--|--|
| (I) Quality Migrant Admission Scheme (QMAS)<br>Capital Investment Entrant Scheme (CIES)<br>Immigration Arrangement for Non-local Graduates (IANG)<br>Admission Scheme for the Second Generation of Chinese Hong Kong Permanent Residents (ASSG)<br>Top Talent Pass Scheme (TTPS)<br>New Capital Investment Entrant Scheme (New CIES) | (II) Technology Talent Admission Scheme (TechTAS)<br>Enhanced Supplementary Labour Scheme (ESLS)<br>Sector-specific Labour Importation Schemes (SLIS)<br>Special Scheme to Import Care Workers for Residential Care Homes (CWS)<br>Investment for Entrepreneurs under the General Employment Policy (GEP)<br>Admission Scheme for Mainland Talents and Professionals (ASMTP) |
|--|--|

## Declaration

1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.
2. I authorise the School to obtain, and the relevant authorities to release, any information about my qualifications and/or employment as required for my application.
3. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.
4. I have noted, understood and agree to the contents of the above notes, Statement on Collection of Personal Data and HKU SPACE policy on personal data (privacy).

Signature \_\_\_\_\_

Date \_\_\_\_\_

## Survey 問卷調查

To help us understand your learning needs, please answer the following questions. Please note that the information you provide may be used to assist the School's research in continuing education. This part of the form is optional.

為使本學院了解學員的學習需要，請填妥以下問卷。你所提供的資料，可能作為本學院對持續教育研究之用。學員可根據個人意願決定填寫與否。

Please tick the appropriate boxes. 請在適當方格加上✓號。

### 1. Your highest education level achieved 你的最高學歷程度: (Please select ONE only 請選擇其中一項)

- |  |  |
|--|--|
| 1.001 <input type="checkbox"/> Below Secondary 5 (HKCEE) 中五 (香港中學會考) 以下                                      | 1.006 <input type="checkbox"/> Bachelor's degree 學士學位                                      |
| 1.002 <input type="checkbox"/> Secondary 5 (HKCEE) or equivalent 中五 (香港中學會考) 或同等程度                           | 1.007 <input type="checkbox"/> Postgraduate certificate / Postgraduate diploma 深造證書 / 深造文憑 |
| 1.003 <input type="checkbox"/> Secondary 6 – 7 (HKAL) or equivalent<br>中六 – 中七 (香港高級程度會考) 或同等程度              | 1.008 <input type="checkbox"/> Master's degree 碩士學位  |
| 1.004 <input type="checkbox"/> Secondary 6 (HKDSE) or equivalent 中六 (香港中學文憑) 或同等程度                           | 1.009 <input type="checkbox"/> Doctorate degree 博士學位                                       |
| 1.005 <input type="checkbox"/> Associate degree / sub-degree / certificate / diploma<br>副學士 / 副學位或非學位證書 / 文憑 |  |

### 2. What are the most important factors for your choosing of the present course at HKU SPACE? 你選擇在香港大學專業進修學院修讀此課程，最重要的因素是什麼?

Please select the THREE most important factors and rank them from "1" to "3", with "1" as the most important. 請選擇3個最重要因素並以1至3排列，以1為最重要。

- |  |  |
|--|--|
| 2.001 <input type="checkbox"/> Good reputation of the institution 機構有良好聲譽            | 2.006 <input type="checkbox"/> Good teaching and learning facilities 教學設備完善    |
| 2.002 <input type="checkbox"/> A variety of courses to choose 有多種課程可供選擇              | 2.007 <input type="checkbox"/> Convenient locations of learning centres 上課地點方便 |
| 2.003 <input type="checkbox"/> High academic quality of the courses 課程的學術質素高         | 2.008 <input type="checkbox"/> Reasonable course fees 學費合理                     |
| 2.004 <input type="checkbox"/> Qualification awarded are widely recognised 學歷被社會廣泛承認 | 2.009 <input type="checkbox"/> Others 其他 (Please specify 請列明: _____)           |
| 2.005 <input type="checkbox"/> Good quality of teaching staff 導師質素高                  |  |

### 3. Which channel(s) do you usually receive information on continuing education? 你通常透過以下哪個渠道獲得有關持續教育的資訊? (You can choose MORE THAN ONE 可作多項選擇)

- |   |   |
|---|---|
| 3.001 <input type="checkbox"/> School website & School eDirect Mail (eDM) 學院網站 / 學院電子郵件 | 3.008 <input type="checkbox"/> eDirect Mail (eDM) 電子郵件                              |
| 3.002 <input type="checkbox"/> School prospectus / programme brochure 學院課程手冊 / 課程單張     | 3.009 <input type="checkbox"/> Keyword search (SEM) 關鍵字搜尋                           |
| 3.003 <input type="checkbox"/> TV / radio advertising 電視 / 電台廣告                         | 3.010 <input type="checkbox"/> Seminar / Exhibition / School Open Day 講座 / 展覽 / 開放日 |
| 3.004 <input type="checkbox"/> Newspaper / magazine advertising 報章 / 雜誌廣告               | 3.011 <input type="checkbox"/> From the organisation currently working at 從現職機構獲得資訊 |
| 3.005 <input type="checkbox"/> Transport / outdoor advertising 公共交通工具 / 戶外廣告            | 3.012 <input type="checkbox"/> Office building 商業大廈派發點                              |
| 3.006 <input type="checkbox"/> Internet advertising 網站廣告                                | 3.013 <input type="checkbox"/> From friends / relatives 親朋推介                        |
| 3.007 <input type="checkbox"/> Mobile advertising 流動電話廣告                                | 3.014 <input type="checkbox"/> Others 其他 (Please specify 請列明: _____)                |

### 4. Profession 行業: (You can choose MORE THAN ONE 可作多項選擇)

- |   |   |
|---|---|
| 4.001 <input type="checkbox"/> Accounting / Auditing 會計、核數  | 4.016 <input type="checkbox"/> Government / Public Utilities 政府部門、公共機構  |
| 4.002 <input type="checkbox"/> Administration / Secretarial / General Management<br>行政、秘書、一般管理工作                                | 4.017 <input type="checkbox"/> Hospitality and Tourism 款客服務、旅遊  |
| 4.003 <input type="checkbox"/> Advertising / Sales and Marketing / Customer Services<br>廣告、營銷及市場推廣、顧客服務                         | 4.018 <input type="checkbox"/> Housing / Property Management / Real Estate 樓宇、物業管理、地產                                 |
| 4.004 <input type="checkbox"/> Architectural / Building & Construction / Project Engineering /<br>City Planning 建築、建造、項目工程、城市規劃 | 4.019 <input type="checkbox"/> Human Resource / Training 人事、培訓  |
| 4.005 <input type="checkbox"/> Art / Creative / Design 藝術、創意行業、設計   | 4.020 <input type="checkbox"/> Legal 法律   |
| 4.006 <input type="checkbox"/> Banking / Finance / Investment / Insurance 銀行、金融、投資、保險   | 4.021 <input type="checkbox"/> Library Management 圖書管理  |
| 4.007 <input type="checkbox"/> Business Management 商業管理   | 4.022 <input type="checkbox"/> Logistics / Transportation 物流、運輸交通   |
| 4.008 <input type="checkbox"/> Chinese Medicine 中醫藥   | 4.023 <input type="checkbox"/> Manufacturing 製造業  |
| 4.009 <input type="checkbox"/> Community / Social Services 社會服務   | 4.024 <input type="checkbox"/> Media / Communications / Public Relations / Publishing / Translation<br>媒體、傳訊、公關、出版、翻譯 |
| 4.010 <input type="checkbox"/> Computer Science / Information Technology (IT) 電腦、資訊科技   | 4.025 <input type="checkbox"/> Medical / Health Care / Nutrition / Beauty 醫療、保健、營養、美容                                 |
| 4.011 <input type="checkbox"/> Education 教育   | 4.026 <input type="checkbox"/> Merchandising / Purchasing / Trading 採購、貿易   |
| 4.012 <input type="checkbox"/> Engineering / Machinery 工程、機械  | 4.027 <input type="checkbox"/> Retail / Wholesale 零售、批發   |
| 4.013 <input type="checkbox"/> Entertainment / Recreation / Sports 娛樂、康樂、體育管理   | 4.028 <input type="checkbox"/> Science and Technology 科學及科技   |
| 4.014 <input type="checkbox"/> Environmental Sciences 環境科學  | 4.029 <input type="checkbox"/> Telecommunications 電訊  |
| 4.015 <input type="checkbox"/> Food and Beverage 餐飲   | 4.030 <input type="checkbox"/> Others 其他 (Please specify 請列明: _____)  |

### 5. What are your area(s) of interest? 你對以下哪些課程範疇感興趣? (You can choose MORE THAN ONE 可作多項選擇)

- |   |  |
|---|--|
| 5.001 <input type="checkbox"/> Accounting and Finance 會計及金融                     | 5.010 <input type="checkbox"/> Healthcare, Sport and Wellness 健康護理、體育及健康   |
| 5.002 <input type="checkbox"/> Architecture, Environment and Housing 建築、房屋及建造環境 | 5.011 <input type="checkbox"/> Hospitality, Tourism and Events 款客、旅遊及活動    |
| 5.003 <input type="checkbox"/> Arts, Design and Culture 藝術、設計及文化                | 5.012 <input type="checkbox"/> Languages 語言                                |
| 5.004 <input type="checkbox"/> Aviation, Transport and Logistics 航空、交通及物流       | 5.013 <input type="checkbox"/> Law 法律                                      |
| 5.005 <input type="checkbox"/> Business and Management 商業及管理                    | 5.014 <input type="checkbox"/> Leadership Enhancement and Development 企業發展 |
| 5.006 <input type="checkbox"/> Chinese Medicine 中醫學                             | 5.015 <input type="checkbox"/> Marketing 市場營銷學                             |
| 5.007 <input type="checkbox"/> Computing and Data Science 電腦運算及數據科學             | 5.016 <input type="checkbox"/> Social Sciences 社會科學                        |
| 5.008 <input type="checkbox"/> Engineering and Science 工程及科學                    | 5.017 <input type="checkbox"/> Others 其他 (Please specify 請註明: _____)       |
| 5.009 <input type="checkbox"/> Executive Education 行政人員專業課程                     |  |

### 6. Is your employer giving you financial support towards the course you have applied for? 你的僱主是否贊助你修讀本課程?

- |                                      |                                     |
|--------------------------------------|-------------------------------------|
| 6.001 <input type="checkbox"/> Yes 是 | 6.002 <input type="checkbox"/> No 否 |
|--------------------------------------|-------------------------------------|

### 7. Monthly personal income 個人每月收入:

- |  |  |
|--|--|
| 7.001 <input type="checkbox"/> \$0 - \$9,999       | 7.005 <input type="checkbox"/> \$30,000 - \$39,999   |
| 7.002 <input type="checkbox"/> \$10,000 - \$14,999 | 7.006 <input type="checkbox"/> \$40,000 - \$49,999   |
| 7.003 <input type="checkbox"/> \$15,000 - \$19,999 | 7.007 <input type="checkbox"/> \$50,000 or above 或以上 |
| 7.004 <input type="checkbox"/> \$20,000 - \$29,999 |  |

End 問卷完  
Thanks 謝謝